

## Cardiff Council

### Audit Committee Update – June 2018

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#### Performance Audit work

2017-18 performance audit work	Scope	Status
<b>Performance Audit</b>	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives.	Certificate issued September 2017
<b>Improvement Plan Audit</b>	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Certificate issued November 2017
<b>Annual Improvement Report (AIR)</b>	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Planning
<b>WFG Year one commentary</b>	Year one commentary on WFG/BaselineGather evidence on how the 44 bodies are beginning to respond to the requirements of the WFG Act and identify examples of notable emerging practice. There was no local report, the baseline informed a commentary published summer 2018.	Complete. <a href="#">Link</a> to our published national report.
<b>Overview and Scrutiny – Fit for the Future</b>	This review examined the impact of the WFG Act on the work of scrutiny committees including PSB scrutiny, facilitating improvement and sharing of good practice.	Fieldwork complete. Draft report issued to Council.

2017-18 performance audit work	Scope	Status
<b>Service User Review</b>	To gather evidence and insight into the extent to which services respond to the needs and expectations of service users both in their design and delivery.	Reporting. This work focused on the Council's housing tenants and supplemented the WHQS review below. It included a telephone survey of a sample of the Council's housing tenants.
<b>Digital transformation workshops</b>	The purpose of this review is to assist the Council in understanding and addressing current challenges in implementing its digital change programme with the aim of supporting the development of corporate ownership and action that promotes positive practices. Implementation of this review will bring the opportunity to refine current arrangements in the Council by promoting what is working well, identifying any barriers to change and developing local improvement opportunities.	Workshops held with sample of staff. Verbal feedback provided to Chief Digital Officer. Arrangements being made to feedback to senior management team.
<b>Housing/WHQS Local Project</b>	To determine whether the Council has effective arrangements in place to enable it to engage with residents in meeting the WHQS by 2022.	Fieldwork complete. Drafting report.
<b>Digital Risk</b>	An overview of the level of digital risk the Council faces. The diagnostic will be used by the Wales Audit Office to identify 'potential' digital risks and issues at the Council. Potential risks identified will be used to focus auditors on areas where further, more specific Information management and technology related performance work might be needed.	Fieldwork being arranged.

2018-19 performance audit work	Scope	Status
<b>Improvement Plan audit</b>	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Certificate issued to Council May 2018
<b>Performance Plan audit</b>	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives.	Not started. Due October/November 2018
<b>Annual Improvement Report (AIR)</b>	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Not started. Likely timescales June/July 2019
<b>Environmental health</b>	Review of the arrangements the Council has put in place to deliver environmental health services building on the study previously undertaken by the Auditor General as part of the 'delivering with less' themed studies.	Planning
<b>Leisure services</b>	Review of the arrangements the Council has put in place to deliver leisure services building on the 'study previously undertaken by the Auditor General as part of the 'delivering with less' themed studies.	Planning
<b>Corporate safeguarding arrangements</b>	Review of the effectiveness of corporate safeguarding arrangements building on the study previously undertaken by the Auditor General in this area.	Planning
<b>Delivering Capital Ambition</b>	Ongoing review and monitoring of the Council's change programme.	Planning
<b>Assurance and risk assessment</b>	Project to identify the level of audit assurance and/or where further audit work may be required in future years in relation to risks to the Council putting in place proper arrangements to secure value for money in the use of resources.	Planning
<b>Well-being of Future Generations Act (Wales) 2015 (WFG Act) examinations</b>	Examination of the extent to which the Council has acted in accordance with the sustainable development principles when taking steps to meet the following wellbeing objective:	Planning

	Cardiff has a high quality city environment where population growth and transport needs are managed sustainably	
<b>2016-17 Local Government Studies</b>	<b>Scope</b>	<b>Status</b>
<b>Improving wellbeing through housing adaptations</b>	We reviewed how adaptations prevent access to and speed up discharge from hospitals. The work looked at how health use adaptations to underpin their activity as well as how efficient and effective organisations are at procuring and delivering adaptations work.	Report published February 2018. <a href="#">Link</a> to our published report. Cardiff was not selected to take part in the detailed fieldwork of this study
<b>Strategic commissioning of learning disability services by local authorities</b>	Fieldwork focused on both the corporate approach to strategic commissioning but also use findings from the tracer area to understand how effective operational arrangements are. We worked with CSSIW and SSIA and built on recent national inspection and support work on learning disabilities.	Report published May 2018. <a href="#">Link</a> to our published report. Cardiff was selected to take part in the detailed fieldwork of this study.
<b>How local government manages demand - Homelessness services</b>	The study focused on homelessness in local authorities using the recent prevention duties placed on local authorities to judge how demand for services is managed.	Report published January 2018. Cardiff was not a fieldwork site. <a href="#">Link</a> to our published report.

2017-18 Local Government Studies	Scope	Status
<b>Services to rural communities</b>	The study will focus on whether local government uses its resources to deliver services that meet the needs of rural communities today and in the longer term.	In progress. Cardiff has not been selected to take part in the detailed fieldwork of this study.
<b>Using data effectively</b>	<p>This study will seek to identify whether councils' corporate management arrangements for managing and using data are leading to better decision making in the use of resources.</p> <p>The study will collect information from all councils in Wales.</p> <p>A short survey was issued to the following respondents across all councils in Wales</p> <ol style="list-style-type: none"> <li>1. corporate management teams</li> <li>2. ICT Managers</li> <li>3. Information Officers</li> <li>4. Policy Officers</li> <li>5. Operational Managers</li> <li>6. and Elected Members</li> </ol> <p>The survey closed on 15th December. Face-to-face or telephone interviews with officers undertaken.</p>	In progress.

2018-19 Local Government Studies	Scope	Status
<p>First point of contact assessments under the Social Services and Well-being (Wales) Act 2014</p>	<p>The Welsh Government introduced the Social Services and Well-being (Wales) Act 2014 (the 2014 Act), which focuses on reforming and simplifying the law relating to social services. The 2014 Act introduced new duties for local authorities, local health boards and other public bodies and covers adults, children and their carers, and came into force on 6 April 2016. The study will review first point of contact and assessments for adult social care.</p> <p>Detailed fieldwork in five local authorities. We are planning to undertake fieldwork in Cardiff City Council, Denbighshire County Council, Merthyr Tydfil County Borough Council, Pembrokeshire County Council and Carmarthenshire County Council</p>	<p>Project set up</p>
<p>Tackling violence against women, domestic abuse and sexual violence</p>	<p>In 2015 the Welsh Government passed the Violence against Women, Domestic Abuse and Sexual Violence (Wales) Act (the 2015 Act). The overarching objective of the 2015 Act is to improve the Public Sector response to violence against women, domestic abuse and sexual violence; provide a strategic focus on these issues; and ensure consistent consideration of preventative, protective and supportive mechanisms in the delivery of services. The review will focus on determining whether local authorities and their partners are effectively working together to prevent gender-based violence and domestic abuse, and protecting all of the people involved.</p> <p>Our fieldwork sites are Swansea City and County Council, Conwy County Borough Council, Flintshire County Council, Rhondda Cynon Taff County Borough Council, South Wales Police and South Wales Fire and Rescue Authority</p>	<p>Project set up</p>
<p>Planning Services: Improving the wellbeing of Wales</p>	<p>Planning services are an important part of the democratic jigsaw that allows the detailed consideration of proposals to improve the economic</p>	<p>Project set up</p>

prospects of the nation. Good planning decisions can have a positive impact on the wellbeing of people and places. Poor planning decisions can have a detrimental impact on people’s wellbeing and can stifle economic development. This study will provide independent assurance that planning authorities are supporting sustainable development and delivering for the future long-term wellbeing of their communities and for Wales

We are planning to undertake fieldwork in Bridgend County Borough Council, Gwynedd County Council, Newport City Council, Torfaen County Borough Council and Ceredigion County Council.

National Studies	Update and link to report
Waste management	Recycling module (clearance); waste prevention and waste treatment infrastructure modules (drafting)
NHS Wales informatics services	Published 10 January 2018. <a href="#">Link</a> to published report
Access to public services with the support of specialist interpretation and translation	Published 25 April 2018. <a href="#">Link</a> to published report
Early intervention and public behaviour change	Drafting
Welsh Government business finance	Drafting (phase 1)
Primary care	Published April 2018. <a href="#">Link</a> to published report
Youth services	Fieldwork
Rural Development Programme 2014-2020	Drafting
European Structural Funds Programme 2014-2020	Drafting
Integrated Care Fund	Fieldwork